

COMPLIXPERT

Moving Financial Institutions with Dynamic Xpert Solutions

At a time when national security is of paramount importance, Sydel Corporation is proud to introduce CompliXpert, a Web-based proactive anti-money laundering solution that goes beyond the traditional transaction monitoring to provide the Compliance Officer a central location to perform all of his/her transaction related duties. The challenges that financial institutions face in this modern age of terrorism and illegal transactions are furthered by the lack of details and flexibility in existing back-office software systems. The time consuming task of segregating accounts into different risk levels manually makes the process difficult as the financial institutions continue to grow and impossible when trying to meet the stringent requirements of the different regulatory bodies. Additionally, most existing systems are reactionary instead of proactive, which leads to potential problems in addressing hazardous situations.

Overview of the features of CompliXpert:



Relationships (Customer Information) - Customer information, policies and procedures are established to provide 360-degree visibility when tracking customers that may belong to one or multiple accounts.

- Automatically determine customer or account risk based on "Know Your Customer" compliance rules on a daily basis
- Enter and track customer documentation including imaging and expiration management
- Document responsible party details such as signers, in-trust, beneficial owners or power-of-attorneys and validate that each responsible party is not on any watch list
- Access account trends on demand to verify activity versus profile over a fixed period of time utilizing a text or graphical presentation



Case Management - Full workflow-driven case management available to document cases related to transactions, watch lists and alerts.



Proactive Alerts - At the center of any anti-money laundering application, the alert management algorithms developed by Sydel Corporation evaluate every transaction based on transaction types, risk rating and financial institution policy.

By allowing the financial institution to control the transaction data alerts, CompliXpert has a proactive approach to combating money laundering by notifying the compliance officer and allowing the team to action questionable items immediately. There are hundreds of possibilities in creating the alert model, as imagined by the financial institution.



Trend Analysis & Behavior Detection - Beneficiaries and Originators of transactions whether customers or third parties, are monitored and analyzed to establish patterns of payments across accounts and document familiarity of the account beneficiaries and originators in CompliXpert.